Greetings from the ALD TASKFORCE

From all indications, the recent NASBA regional meetings held in Oklahoma City and Indianapolis were a great success for the attendees and also for the Accountancy Licensee Database (ALD). Several regional presentations and breakout sessions discussed Mobility and ALD. During the meetings and shortly afterward, several states stepped forward to join the ALD.

Sharron Cirillo of Delaware, Dave Sanford of Guam, Jim Abbott of North Dakota, Mike Skinner of Georgia and Pat Hearne of Oregon all indicated that they are ready to have a kick-off meeting with the ALD team. Dick Carroll of Kentucky and Susan Harris of Mississippi requested a Data Sharing Agreement to take to their respective Boards. Bob Brooks of North Carolina advised that he is working with his Attorney General to clear the way for participation. We hope to soon report that these eight jurisdictions are fully participating in the ALD in upcoming newsletters.

The graphic pictured above categorizes the progress of participation in the ALD for each state/jurisdiction as of July 31, 2009. Thirty-five percent of states are participating by contributing licensee information electronically into the database. Another thirty-one percent of states have communicated to NASBA their commitment to move ahead with participation. Knowing that very soon sixty-six percent of states will populate valuable information into the tool is encouraging to the Executive Directors who rely on the system daily and to the ALD Taskforce.
The ALD Taskforce team is working hard to continually improve the value and usefulness of the information and features made available through the ALD tool. Nashville, TN will serve as the host city for our next Taskforce meeting being held August 3-4. During the meeting, we will review all aspects of the ALD to establish best practice policies. In particular, we will determine if the type of data being received is sufficient or, if we should include additional information. Possible scenarios supporting this discussion include (but are not limited to)

- If a peer review has been completed on a firm
- If there is additional information that would better support mobility
- Terminology used for license status and disciplinary action

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By Dan Dustin, Executive Director
New York State Board for Public Accountancy

Q: Russ, you served as head of the licensing boards representing several professions, correct? Did any of them have an ALD equivalent?
A: I was Director of Licensing for the Illinois Department of Professional Regulation for 16 years. We regulated approximately 50 professional acts encompassing 150+/- professional licensing categories including accounting. This was an umbrella agency and we communicated with many national organizations, some of which were developing or trying to develop disciplinary databases. At the time I left in 2000, there were no functioning ALD type programs with the exception of the mandatory reporting of medical discipline.

Q: How would you see the ALD being used in Illinois?
A: Since budget constraints in Illinois have severely limited the IDFPR's ability to investigate complaints, I believe the ALD would be a great avenue to assist in this endeavor.

Q: What barriers to enforcement do you foresee for those states that do not adopt the ALD?
A: With mobility at the forefront of the profession, the ALD is a great tool in tracking individuals who would otherwise be able to escape their past simply by moving from one state to another.

Q: What advice would you share with fellow Executive Directors?
A: Illinois currently does not participate in the ALD, primarily due to technical issues related to privacy. I will be working with the ILDFPR for implementation of ALD and would encourage other states to do the same. Total participation by states would go a long way toward shoring up an otherwise weak national enforcement program.