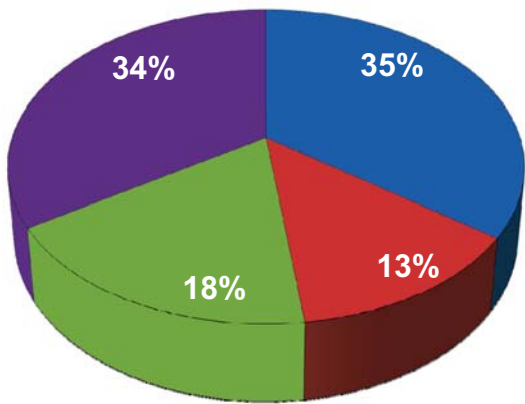


### ALD State Participation



#### 19 Participating

Alaska	Oklahoma
Arkansas	Puerto Rico
Idaho	South Carolina
Indiana	South Dakota
Kansas	Tennessee
Louisiana	Texas
Missouri	Washington
Montana	West Virginia
Nevada	Wyoming
New Mexico	

#### 7 Working Towards Participation

California  
Delaware  
Georgia  
Maryland  
Nebraska  
New Hampshire  
Ohio

#### 10 Committed

Colorado	Mississippi
DC	New York
Guam	North Dakota
Iowa	Vermont
Kentucky	
Massachusetts	

#### 19 Not Yet Committed

Alabama	New Jersey
Arizona	North Carolina
CNMI	Oregon
Connecticut	Pennsylvania
Florida	Rhode Island
Hawaii	Utah
Illinois	Virgin Islands
Maine	Virginia
Michigan	Wisconsin
Minnesota	

## Greetings from the ALD TASKFORCE

From all indications, the recent NASBA regional meetings held in Oklahoma City and Indianapolis were a great success for the attendees and also for the Accountancy Licensee Database (ALD). Several regional presentations and breakout sessions discussed Mobility and ALD. During the meetings and shortly afterward, several states stepped forward to join the ALD.

Sharron Cirillo of Delaware, Dave Sanford of Guam, Jim Abbott of North Dakota, Mike Skinner of Georgia and Pat Hearne of Oregon all indicated

that they are ready to have a kick-off meeting with the ALD team. Dick Carroll of Kentucky and Susan Harris of Mississippi requested a Data Sharing Agreement to take to their respective Boards. Bob Brooks of North Carolina advised that he is working with his Attorney General to clear the way for participation. We hope to soon report that these eight jurisdictions are fully participating in the ALD in upcoming newsletters.

The graphic pictured above categorizes the progress of participation in the

ALD for each state/jurisdiction as of July 31, 2009. Thirty-five percent of states are participating by contributing licensee information electronically into the database. Another thirty-one percent of states have communicated to NASBA their commitment to move ahead with participation. Knowing that very soon sixty-six percent of states will populate valuable information into the tool is encouraging to the Executive Directors who rely on the system daily and to the ALD Taskforce.



## WELCOME TO ALD SOUTH DAKOTA

By Nicole Olson-Kasin, Executive Director  
South Dakota Board of Accountancy

The South Dakota Board of Accountancy is excited to be the newest participant in the ALD. Whenever our Board discussed mobility, the ALD was always referenced in our discussions. As Executive Director, I found ease in the ALD on-boarding process. To get our Board started, Kenny Denny, ALD Administrator, provided us with all of the necessary files and parameters required for set-up. Our Board then reviewed the data fields and determined the best way to customize the information collected and stored on the ALD. I provided the data to our programmer and he was able to transfer the file to NASBA. After a few test runs to get some minor glitches resolved, we were up and running on the ALD in a matter of a few days. I am pleased to report our ALD program is set to run automatically each night.



## ALD Taskforce Member Spotlight

Russ Friedewald, Executive Director,  
Illinois Board of Examiners

The ALD News recently spoke with Russ Friedewald, the newest member of the ALD Taskforce regarding his experience in working with regulation.

**Q: Russ, you served as head of the licensing boards representing several professions, correct? Did any of them have an ALD equivalent?**

**A:** I was Director of Licensing for the Illinois Department of Professional Regulation for 16 years. We regulated approximately 50 professional acts encompassing 150+/- professional licensing categories including accounting. This was an umbrella agency and we communicated with many national organizations, some of which were developing or trying to develop disciplinary databases. At the time I left in 2000, there were no functioning ALD type programs with the exception of the mandatory reporting of medical discipline.

**Q: How would you see the ALD being used in Illinois?**

**A:** Since budget constraints in Illinois have severely limited the IDFPR's ability to investigate complaints, I believe the ALD would be a great avenue to assist in this endeavor.

**Q: What barriers to enforcement do you foresee for those states that do not adopt the ALD?**

**A:** With mobility at the forefront of the profession, the ALD is a great tool in tracking individuals who would otherwise be able to escape their past simply by moving from one state to another.

**Q: What advice would you share with fellow Executive Directors?**

**A:** Illinois currently does not participate in the ALD, primarily due to technical issues related to privacy. I will be working with the ILDFPR for implementation of ALD and would encourage other states to do the same. Total participation by states would go a long way toward shoring up an otherwise weak national enforcement program.



By Dan Dustin,  
Executive Director  
New York State  
Board for Public  
Accountancy

## TASKFORCE MEETING IN AUGUST

The ALD Taskforce team is working hard to continually improve the value and usefulness of the information and features made available through the ALD tool. Nashville, TN will serve as the host city for our next Taskforce meeting being held August 3-4. During the meeting, we will review all aspects of the ALD to establish best practice policies. In particular, we will determine if the type of data being received is sufficient or, if we should include additional information. Possible scenarios supporting this discussion include (but are not limited to) the following:

- If a peer review has been completed on a firm
- If there is additional information that would better support mobility
- Terminology used for license status and disciplinary action

The September issue of ALD News will report on improvements that will be implemented as a result of our upcoming meeting.

## CONTACT US

The ALD News is the premeir source for news and information regarding the Accountancy Licensee Database. For questions or comments, contact:

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