2009 REGIONAL MEETINGS

Oklahoma City, OK
Indianapolis, IN

COORDINATING REGULATORY EFFORTS

WEST
KEN L. BISHOP, HARRY O. PARSONS, CPA

EAST
LINDA L. BIEK, CPA, KEN L. BISHOP, MICHAEL WEINSHEL, CPA

ENFORCEMENT PRACTICES COMMITTEE

CHAIR
HARRY O. PARSONS, CPA

CO-CHAIRS
MICHAEL WEINSHEL, CPA
KENNETH R. ODOM, CPA

MEMBERS
JEFFREY T. BARBER, CPA
DIAN T. CALDERONE, CPA
DAVID D. DUREE, CPA
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SUSAN M. HARRIS, CPA
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TIMOTHY J. MARTIN
GREG NEWINGTON
LEONARD M. ROMANIIELLO, CPA
SANDRA A. SURAN, CPA
PATRICK M. THORNE, CPA
RICHARD D. THORSEN, CPA

NASBA COMMITTEE LIASONS
LINDA L. BIEK, CPA
KEN L. BISHOP
COMMITTEE CHARGE

- Examine and evaluate enforcement practices of state boards.
- Develop best practices relating to evaluation of the effectiveness of state boards’ enforcement efforts, including coordination efforts with other boards.
- Develop resource material including expert witnesses for special areas.
- Provide resources for advice and resources on enforcement issues to state boards.

NASBA

SUB-COMMITTEE ACTIVITIES

- Referrals and communications between organizations
- Development of an enforcement manual
- Development of a resource bank
- Development of process for multistate investigations

NASBA
REFERRALS & COMMUNICATION

- Harry O. Parsons, CPA – Chair
  - Federal
  - AICPA
  - State Societies
  - SEC
  - State Boards
  - Other

ENFORCEMENT MANUAL

- Michael Weinshel, CPA – Chair
  - Determine current enforcement efforts of boards.
  - Development of best practices manual to assist boards with enforcement issues.
  - Assist boards with implementation of best practices.
  - Raise awareness level between boards as to what each is doing.
RESOURCE BANK

- Michael Skinner, CPA – Chair
  - Identify those states that need assistance in staffing and funding.
  - Develop a pool of investigators and specialists for boards to draw from.
  - Provide assistance for inter-state board investigations.
  - Share resources among state boards.

MULTISTATE INVESTIGATIONS

- Kenneth R. Odom, CPA – Chair
  - Development of procedures for shared investigations by boards in different states.
  - Notifications between state boards.
  - Enforcement policies for multistate violations.
  - Improve and promote the use of interstate compacts.
  - Development of regional compacts.
  - Encourage input from multistate firms.
MOBILITY

- Increases the need to participate in Accounting Licensee Database (ALD)
- Increases the need for communications between boards
- Increases the need to move toward uniformity of enforcement
- Increases the need for state boards to trust and rely on each other for enforcement
- Increases the need for communications between Executive Directors
- Increases the need for communications from other agencies (e.g. HUD, PEEC, HHS)
- Strengthened by regional and inter-regional compacts
- Increases NASBA participation as a facilitator to accomplish all of these