



Trusted
Resource for Regulation and Professional Services.

ALD Taskforce Update



Addressing the Needs of State Boards

Dan Sweetwood, ALD Chair





ALD Background

- Repository of licensee and firm information
- No cost to state boards of accountancy
- Guided by 13-member Taskforce that meets four times a year
- Supported by 5 NASBA staff-members



Benefits of ALD

- Quick and easy access to information regarding CPAs and Firms in all participating jurisdictions
- Information can greatly assist the state boards in processing license applications
- Frees-up of staff time by eliminating the need to contact multiple state boards for license status of applicants
- Improvement of enforcement efforts with a single source for information and disciplinary action



Trusted
Resource for Regulatory and Professional Services

Challenges

- Unique identifier – hash calculation using DOB and last 4 of SSN
- Legal concerns using last 4 of SSN cleared by Noel Allen and NE AG
- License type/status terms varied – ALD will display longer description
- Level of information in ALD – Taskforce continues to pursue more
- Public site – handling disciplinary info with links to state boards

NASBA

Trusted
Resource for Regulatory and Professional Services

ALD Currently

- 30 Boards participating today and more on the way
- Represents over 1/2 **million** licensee records
- Everyone benefits as the remaining states join in sharing their records

NASBA

Trusted
Resource for Regulatory and Professional Services

ALD as of Sept 2010


Participating	30
Committed First Steps	16
No Action at this time	9
Total	55


NASBA




Where ALD Plans to Go

- Going public
- New name and website
- Assists Consumers such as:
 - General Public
 - Business owners
 - Other agencies (FBI, IRS, PCAOB, etc.)
 - Attorneys
 - HR Directors
- Preview (video)






Prototype of Public Website




Database Search Results

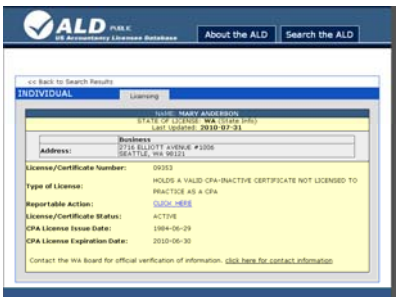
Name	State	License Number
ALD CORPORATION	WA	00000
ALD CORPORATION	WA	00000
ALD CORPORATION	WA	00000

Results Per Page: 10





Prototype of Public Website




INDIVIDUAL License

NAME: MARY ANDERSON
 STATE OF LICENSE: WA (State Info)
 LICENSE NUMBER: 2010-00-30

Address: 2124 BLYTHE AVENUE #1000
 SEATTLE, WA 98122

License/Certificate Number: 00000
 Type of License: HOLDS A VALID CPA-INACTIVE CERTIFICATE NOT LICENSED TO PRACTICE AS A CPA
 Reportable Action: [CLICK HERE](#)
 License/Certificate Status: ACTIVE
 CPA License Issue Date: 1984-06-29
 CPA License Expiration Date: 2010-06-30

Contact the WA Board for official verification of information. [click here for contact information](#)



Trusted
Resource for Regulation and Professional Services

Benefits of Public Website

- Increase efficiency of state boards by providing 'self serve' information to consumers
- Dissuades fraudulent use of CPA
- Promotes the value of the CPA designation

NASBA

Trusted
Resource for Regulation and Professional Services

Launch Spring 2011

- Favorable response by 40 jurisdictions in a quick-poll earlier this year
- Each Board will be contacted with opportunity to participate
- Plan to launch public website Spring 2011

NASBA

Trusted
Resource for Regulation and Professional Services

Task Force Members

Dan Sweetwood (NE)	Michael Skinner (GA)
Rebecca Adams (CT)	Edith Steele
Sally Anderson (CA)	Rick Sweeney (WA)
Patti Bowers (CA)	Karen Turner (CO)
Mark Crocker (TN)	Viki Windfeldt (NV)
Dan Dustin (NY)	Lisa Zolman (WA)
Russ Friedewald (IL)	

NASBA
